

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2405358
<b>Application Number:</b>	10077182
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9522
<b>Title of Invention:</b>	Balancing arbitragable tracking securities
<b>First Named Inventor/Applicant Name:</b>	Steven M. Bloom
<b>Customer Number:</b>	26161
<b>Filer:</b>	Denis G. Maloney/Janine Goodwin
<b>Filer Authorized By:</b>	Denis G. Maloney
<b>Attorney Docket Number:</b>	09857-092001
<b>Receipt Date:</b>	31-OCT-2007
<b>Filing Date:</b>	15-FEB-2002
<b>Time Stamp:</b>	17:31:44
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$930
RAM confirmation Number	3833
Deposit Account	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)

1		reply09857_092001.pdf	4778320 ab211e28a5344a3692608446bca8b81 57a48ef65	yes	24
<b>Multipart Description/PDF files in .zip description</b>					
<b>Document Description</b>			<b>Start</b>	<b>End</b>	
Amendment After Final			1	1	
Claims			2	8	
Applicant Arguments/Remarks Made in an Amendment			9	24	
<b>Warnings:</b>					
<b>Information:</b>					
2	Extension of Time	ext09857_092001.pdf	135382 c2dd148729637ebac730e50e5cf5b974 d575d42c	no	1
<b>Warnings:</b>					
<b>Information:</b>					
3	Information Disclosure Statement (IDS) Filed	ids09857_092001.pdf	366681 c80c034591c4c0ba7c9dad194a349ca3 c6e5d1b2	no	2
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
4	NPL Documents	WO03042779.pdf	132531 c4271c918bd25cd65982a566de2ec3d6 112b6e7c	no	3
<b>Warnings:</b>					
<b>Information:</b>					
5	NPL Documents	WO2001027106.pdf	792700 eb3ea050180292e29e5254936da00fe3 5c3183ad	no	27
<b>Warnings:</b>					
<b>Information:</b>					
6	Request for Continued Examination (RCE)	rce09857_092001.pdf	263979 684701d6a4828409d82256473556c6d 93b36bc7f	no	1
<b>Warnings:</b>					
This is not a USPTO supplied RCE SB30 form.					
<b>Information:</b>					
7	Fee Worksheet (PTO-06)	fee-info.pdf	8304 03377f0e7aa64b0b746415a8b55733ef 59031984	no	2

<b>Warnings:</b>	
<b>Information:</b>	
<b>Total Files Size (in bytes):</b>	6477897
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<p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>	
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